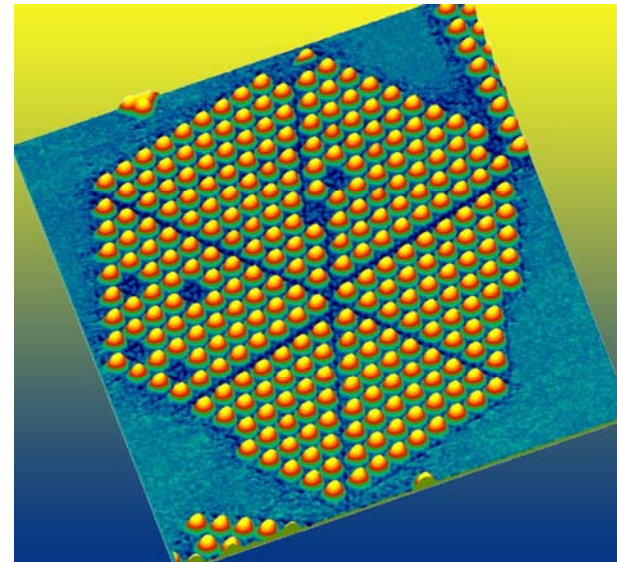
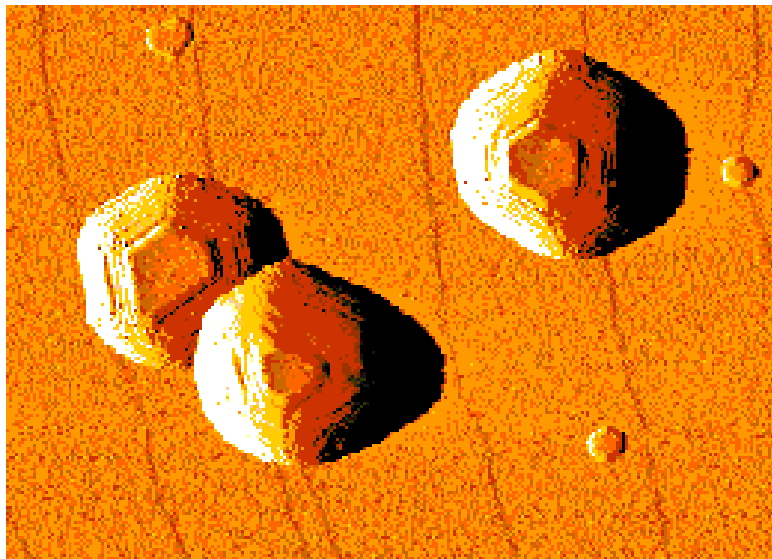


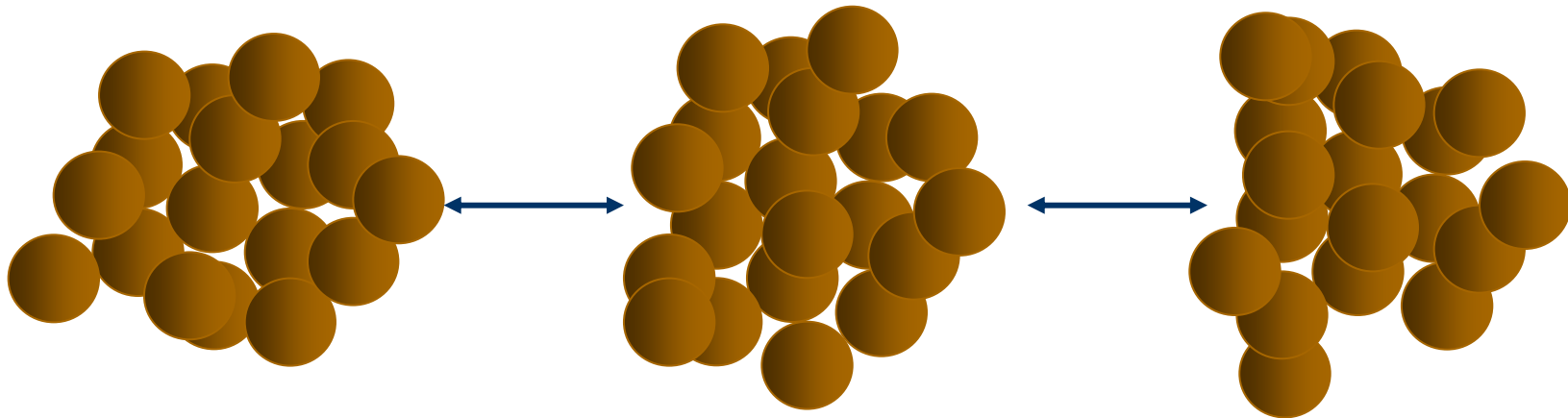
# Physics of Nanotechnology

What's new at the nanoscale?

- Quantum confinement
- Surface-to-volume ratio
- Fluctuations and Entropy



$10^5$  vs.  $10^{23}$



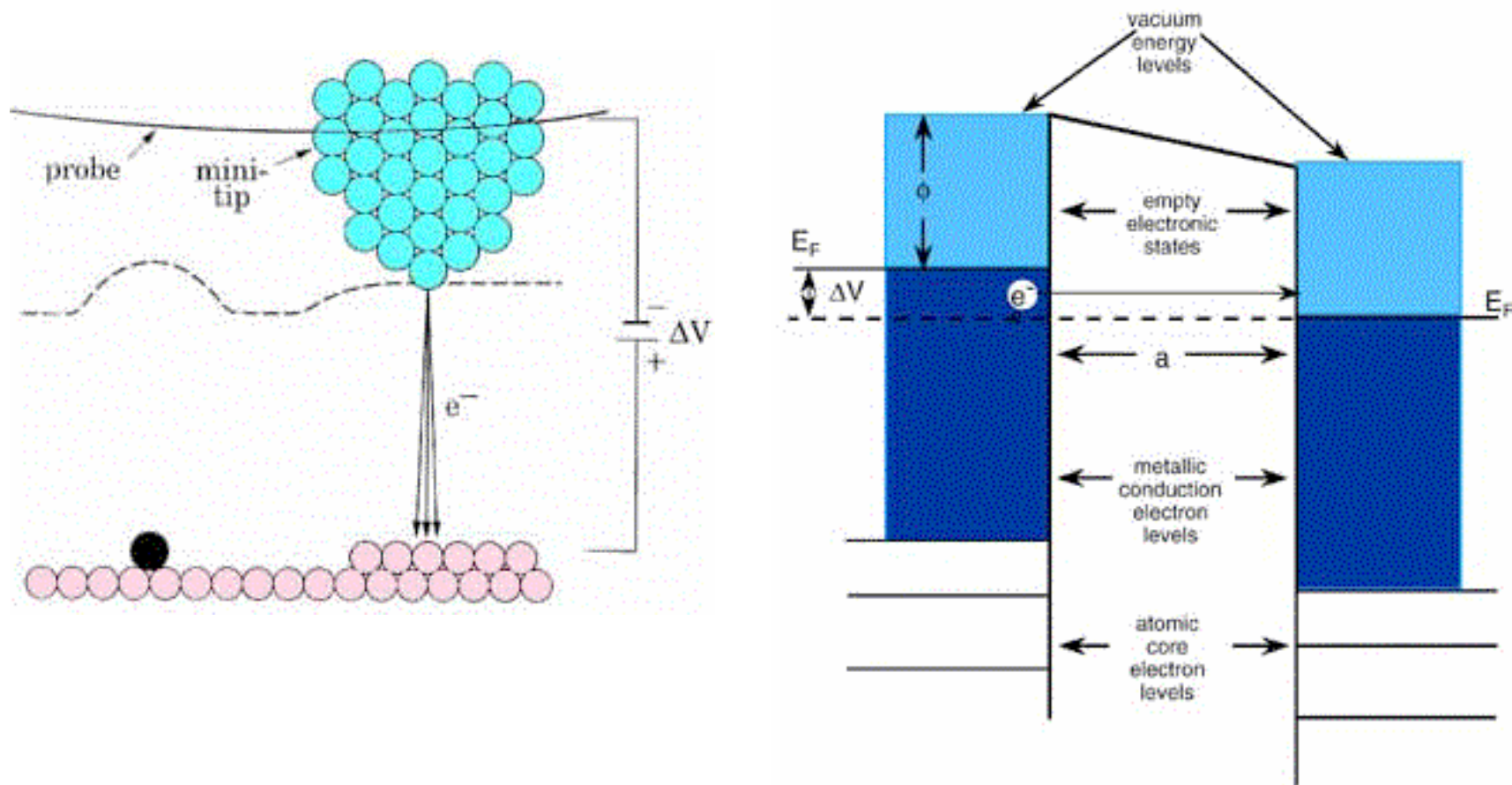
Multi-atomic structures have a large density of available energy states. State occupancy is highly peaked near an average energy value.

$$N(E) = N(\bar{E}) \exp\left\{-\frac{(E - \bar{E})^2}{2kT^2 C_V}\right\} \approx N(\bar{E}) \exp\{-1/N\}$$

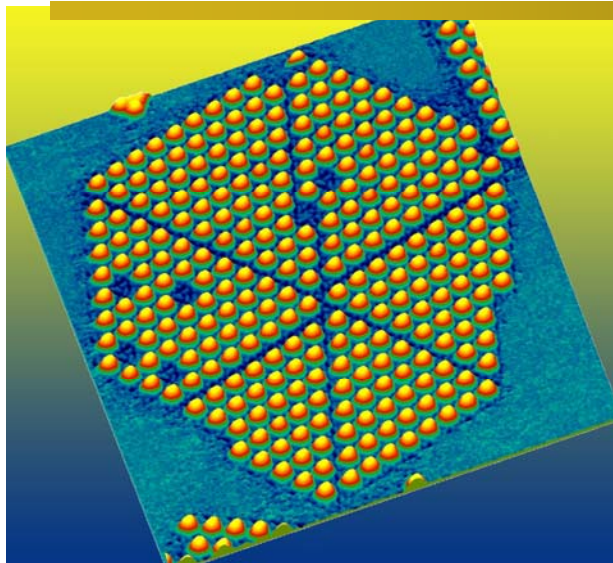
$$\frac{\sigma_E}{\bar{E}} \approx \frac{1}{\sqrt{N}}$$

$$\frac{\sigma_\rho}{\rho} \approx \frac{1}{\sqrt{N}}$$

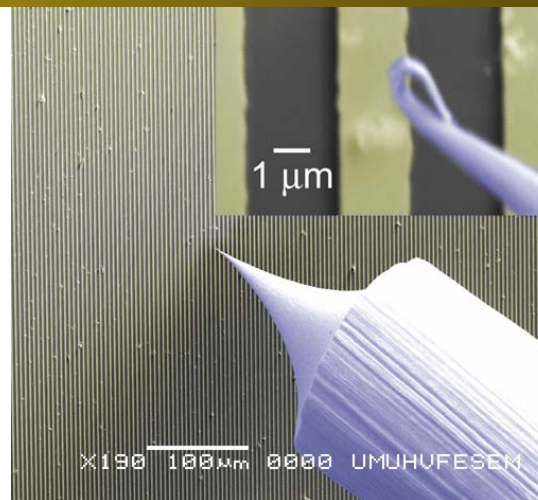
# Scanning Tunneling Microscopy



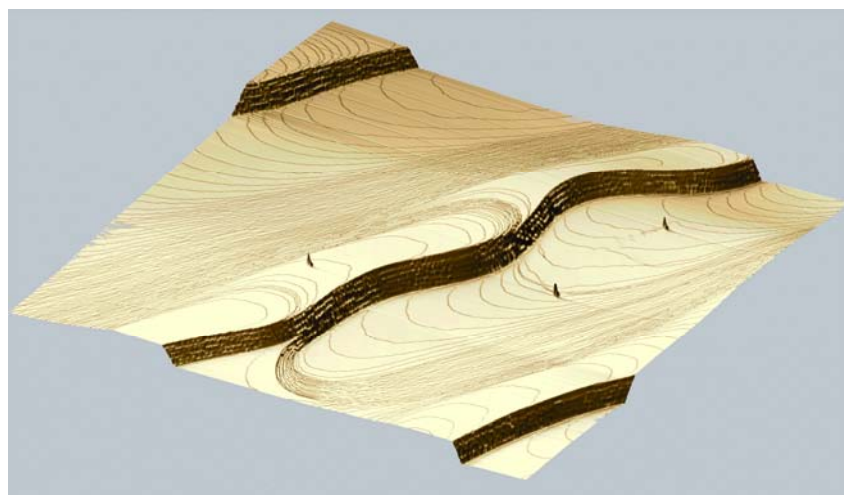
# Scanning Tunneling Microscopy



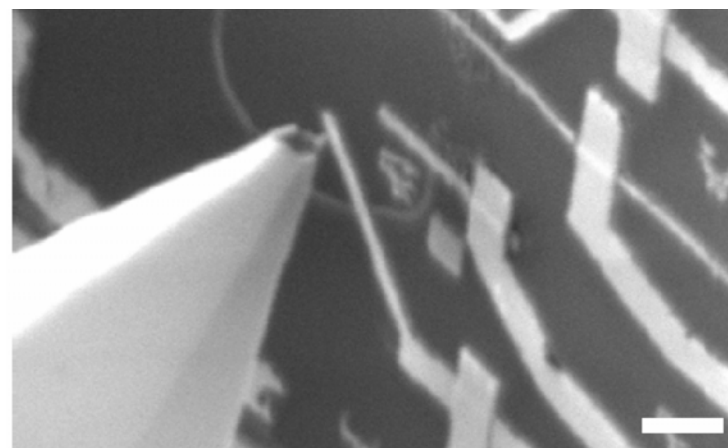
50nm x 50 nm ACA-templated C<sub>60</sub>



STM tip with patterned Fe/Si



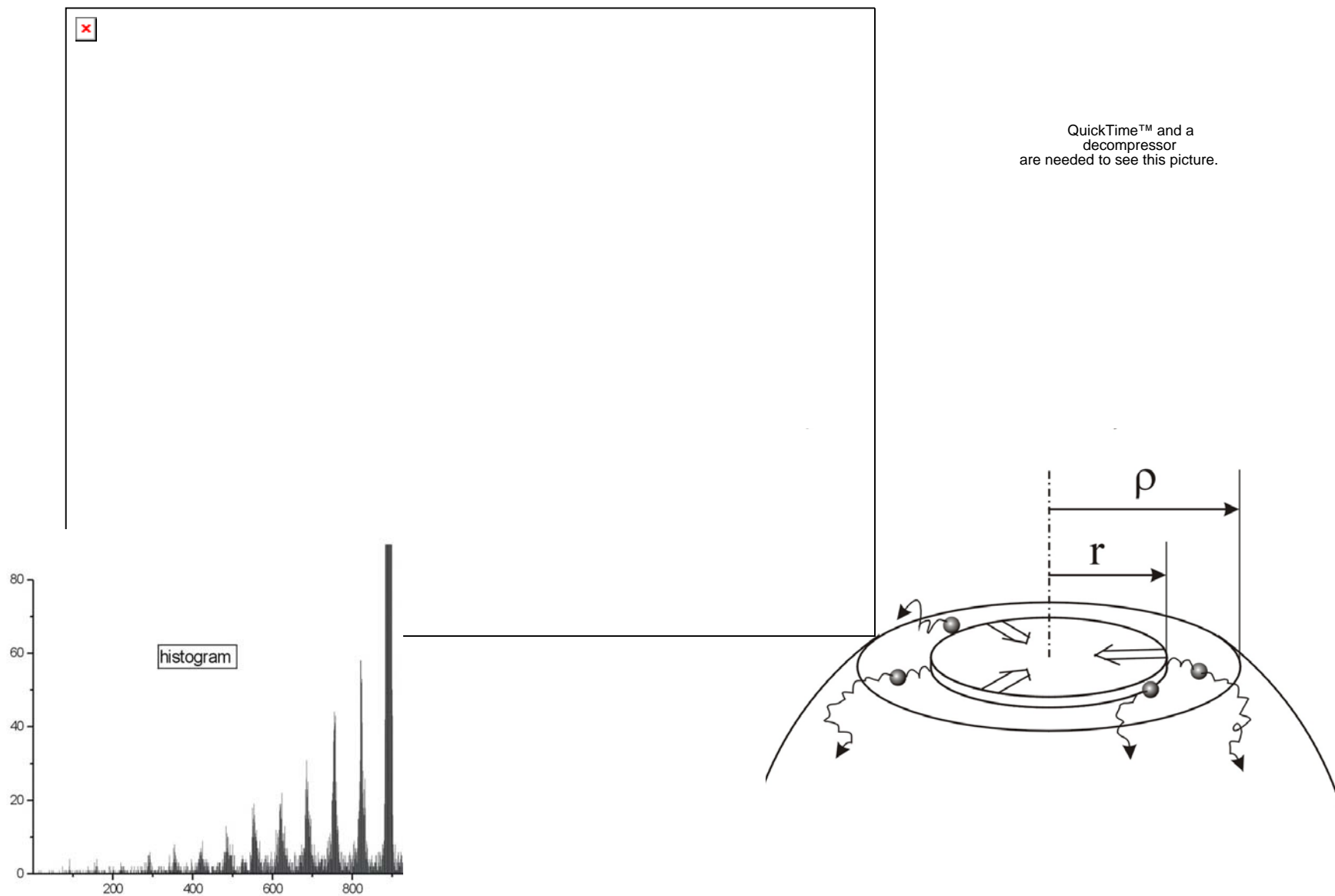
10 μm x 10 μm electromigrated Si



C-nanotube imaged in device

# Model System - Pb Crystallites

QuickTime™ and a decompressor are needed to see this picture.



# Nano-Physics

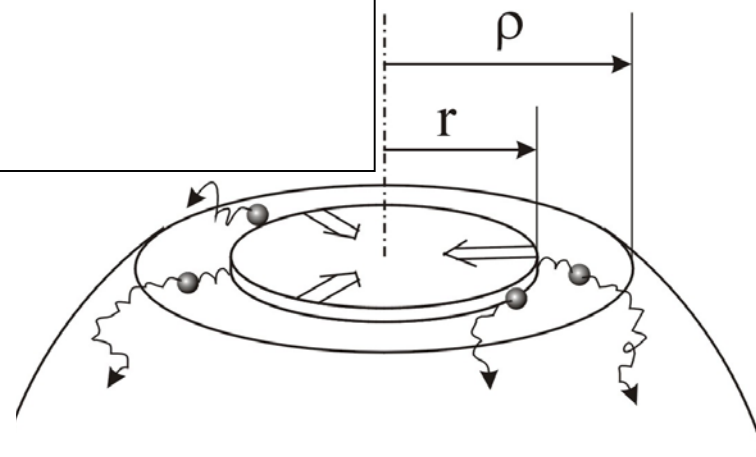
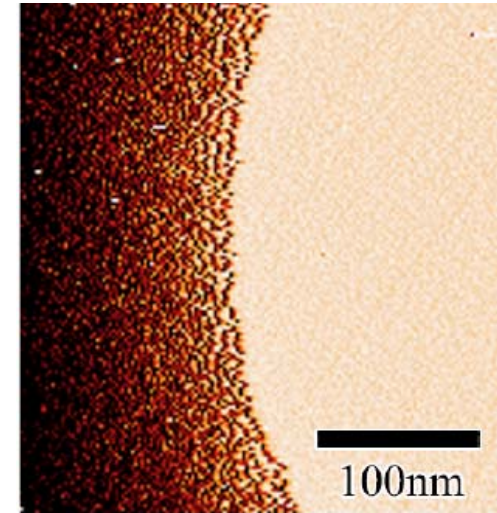
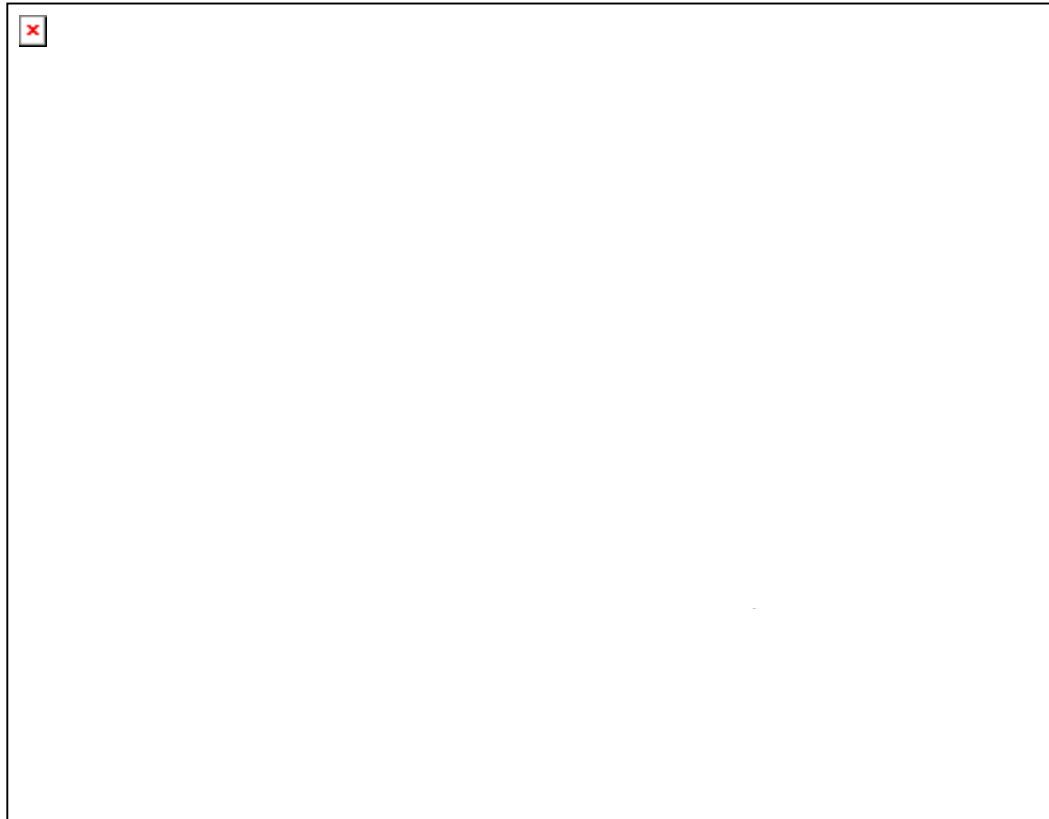
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- Atoms at the surface are relatively free to move around - mass transport to fabricate and modify nanoscale structure and functional properties can be controlled at the surface
- Fractional fluctuation scales as  $1/(\text{volume})^{1/2}$
- Surface to volume scales as  $1/(\text{volume})^{1/3}$



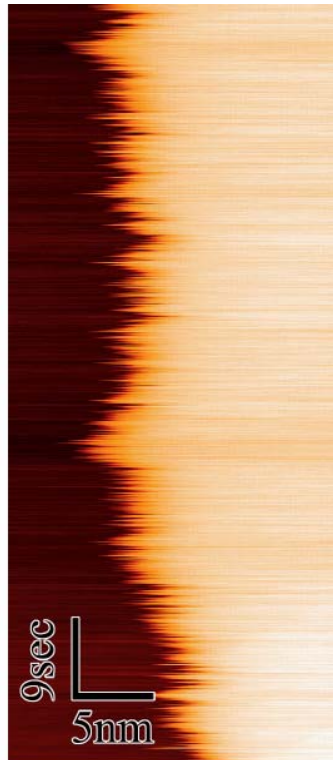
- At the nanometer scale, individual atomic scale structures rather than average over distribution of structures will determine functional behavior of individual nanostructures and nanodevices
- This may be good or bad, but in all cases....  
it will be interesting

# Spatial Images - Edge boundaries

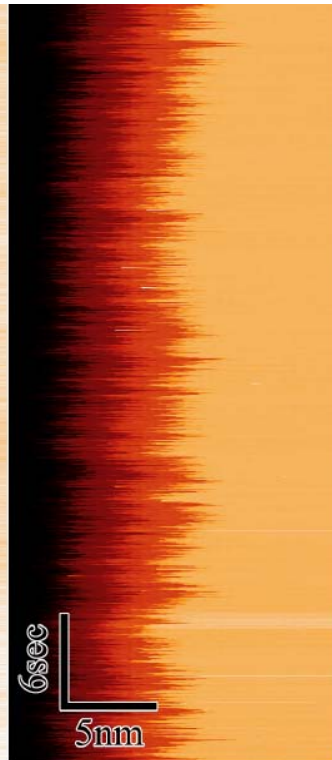


# Time - Images

free step

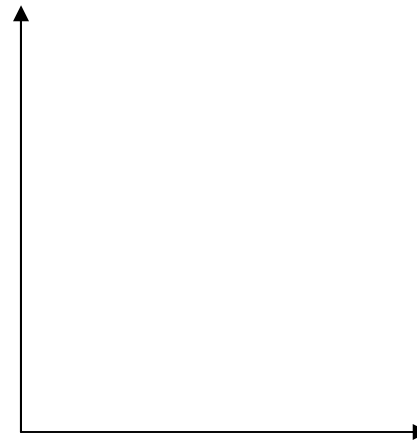


step at crystal edge



t, seconds

time at which position recorded



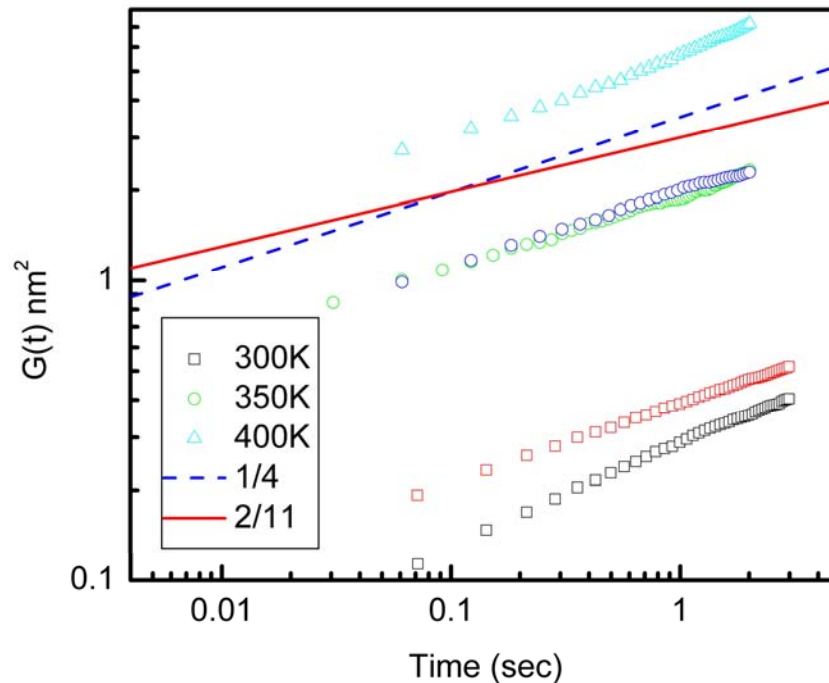
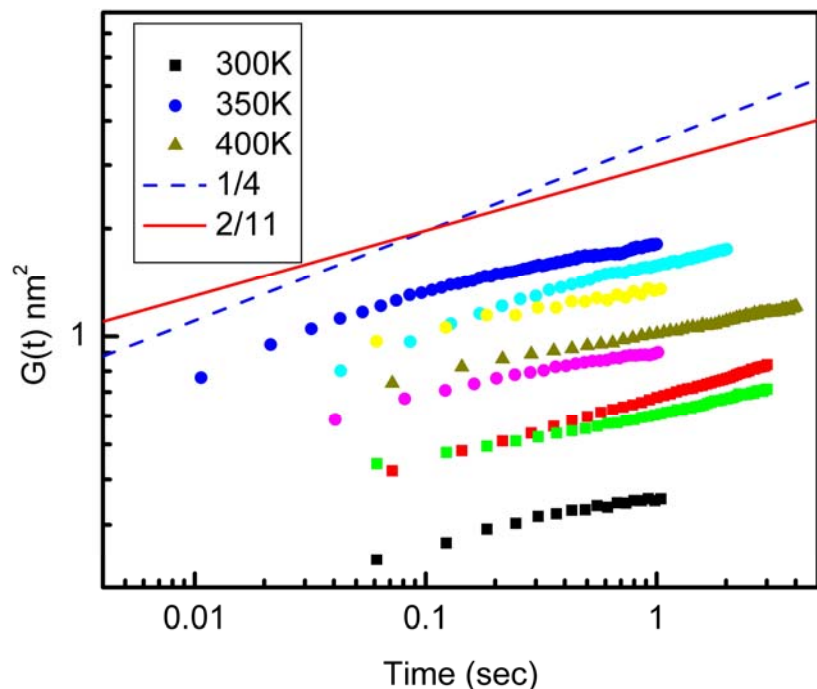
x, nm

position of step edge

- Repeated STM scans across the same spatial location reveal structure changes as a function of time,  $x(t)$



# Analysis of Fluctuations...



$$G(t) = \langle (x(t) - x(0))^2 \rangle = \left( \frac{2\Gamma(1-1/n)}{\pi} \right) \left( \frac{kT}{\tilde{\beta}} \right)^{\frac{n-1}{n}} (\Gamma_n t)^{1/n}$$

- Yields mechanism, local chemical potential and time constant governing fluctuations
- Next step - predict mass transport



# Nano-confined structure evolution

---

## Pb Crystallite

- Temperature 390K
- Period of rotation 23 min
- Screw is unwinding - mass transfer from dislocation step edge to edges of the crystallite
- Screw core is offset by about  $1/2$  the radius

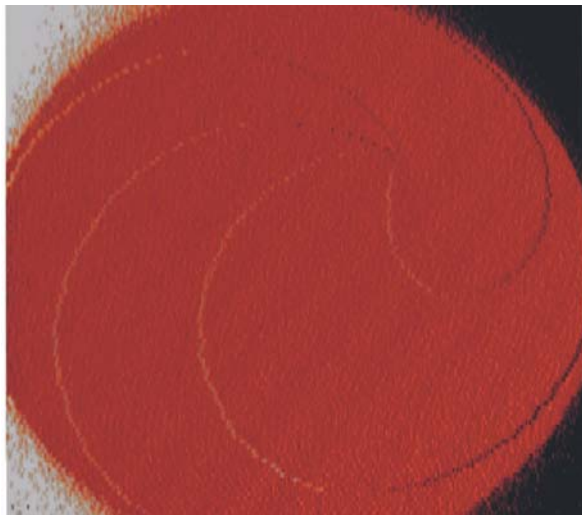
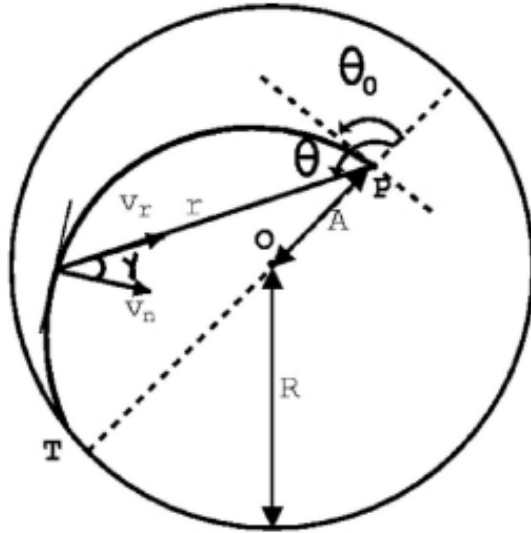
QuickTime™ and a decompressor are needed to see this picture.

← 930 nm →

## Screw Dislocations

- Standard growth defect
- Unconfined growth reaches steady state with spiral winding at constant angular speed

# Modeling



- Local curvature  $\kappa$  determines local chemical potential

$$\mu(\kappa) = \Omega \tilde{\beta} \kappa$$

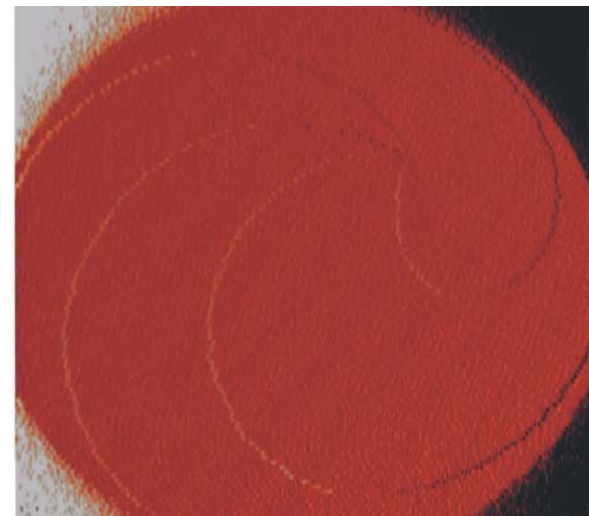
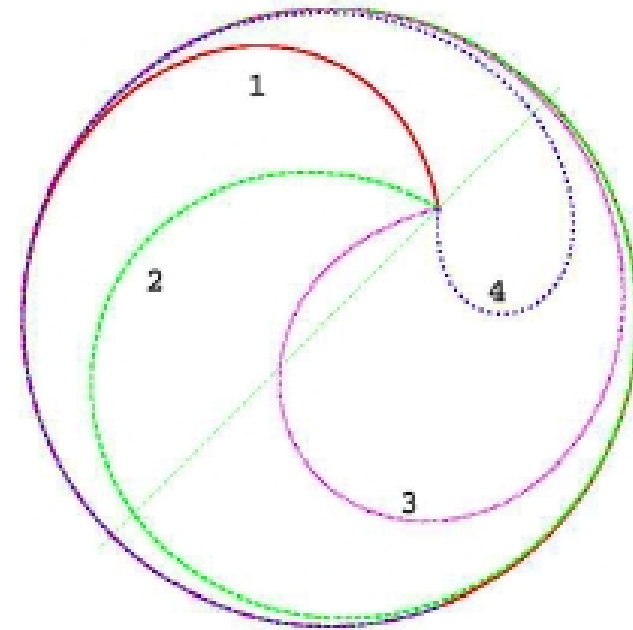
$$\kappa(r) = \frac{r^2 + 2r'^2 - rr''}{(r^2 + r'^2)^{3/2}}$$

- Model first with attachment/detachment kinetics

$$v_n(\kappa) = \frac{a^3}{\tau_a} \frac{\tilde{\beta}}{kT} [\kappa(r) - 1/R]$$

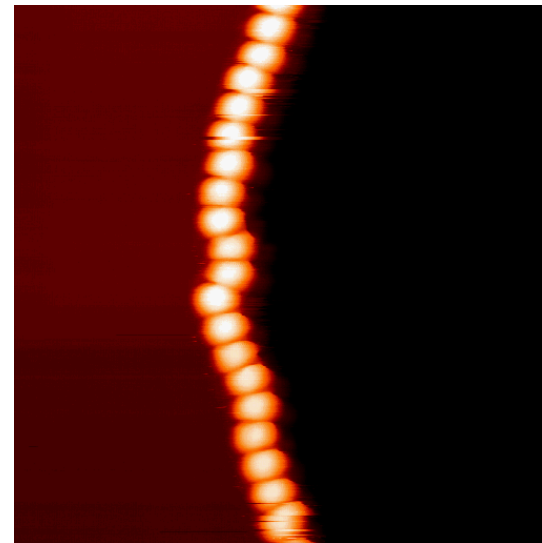
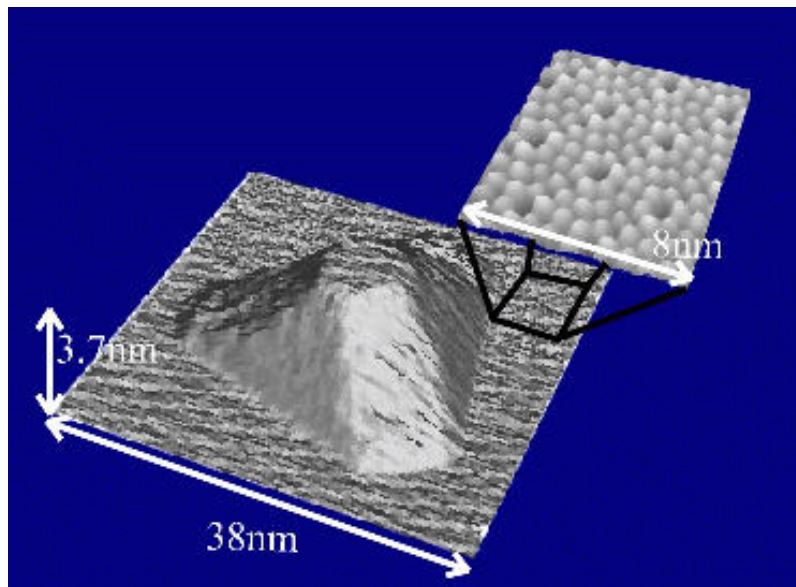
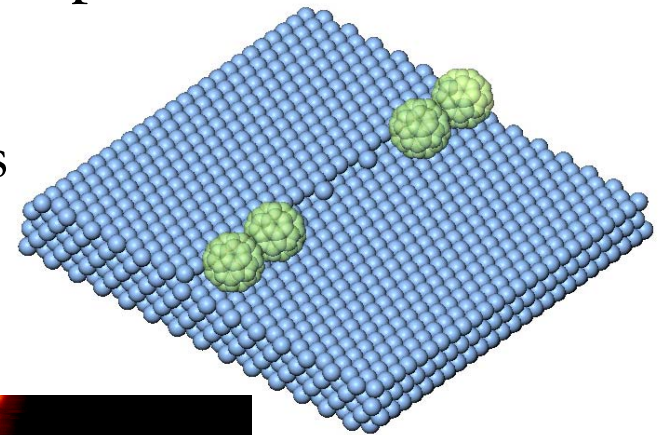
# Unconstrained solution

- Begin numerical solution with arbitrary initial shape constrained to join the external circle smoothly
- Period of 23 minutes is reproduced with  $\tau_a = 1.5$  ms
- Solutions requiring SED alone cannot reproduce shape evolution



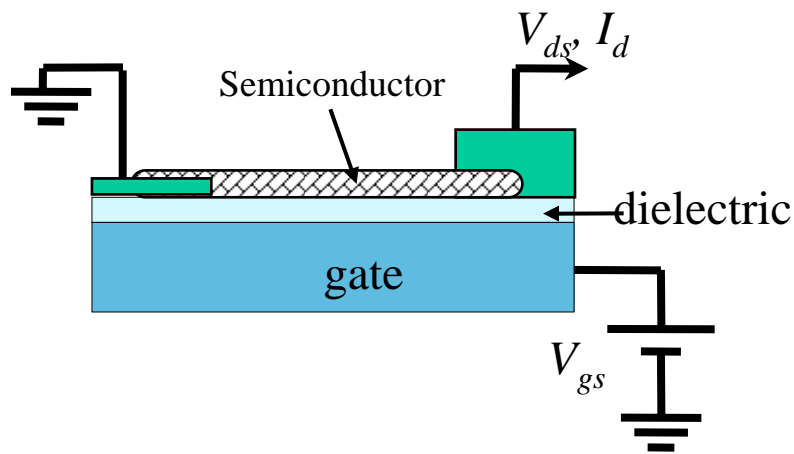
# Structure assembly

- Fluctuation analysis yields parameters needed for quantitative prediction of mass transport
  - ↳ Single steps
  - ↳ Cooperative motion of 3-d structures
  - ↳ Confined structures

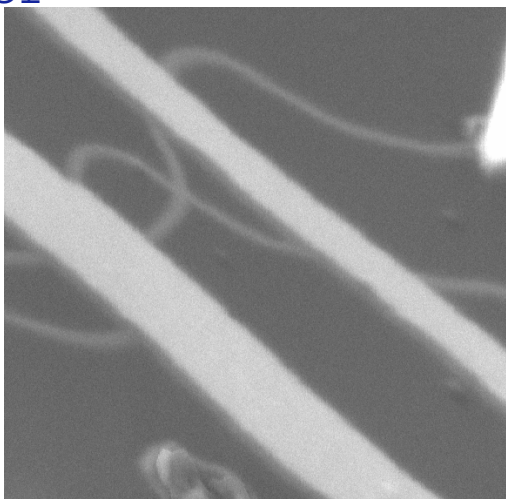


# Fluctuations in Nanoelectronics

## Generic Thin Film Transistor



## Real Nanotube Transistor



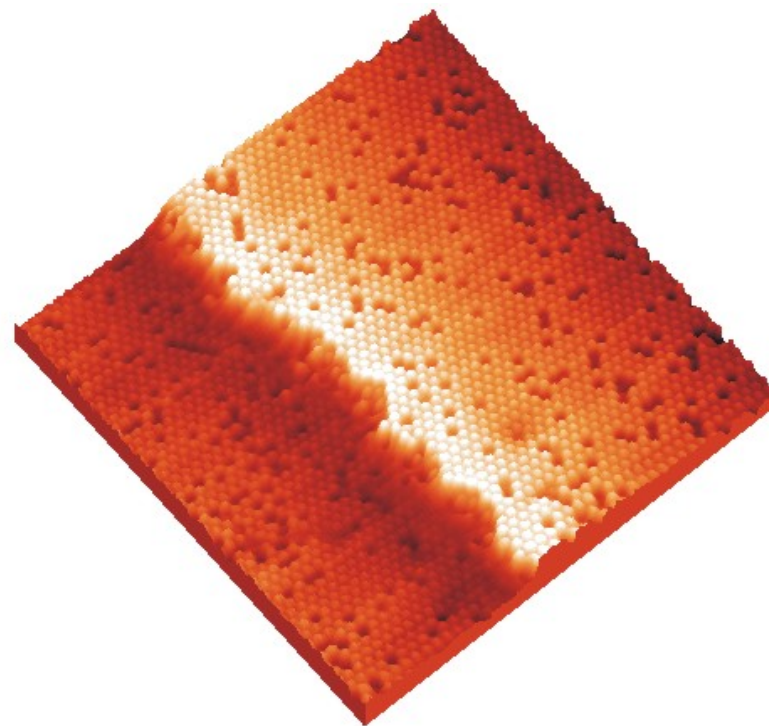
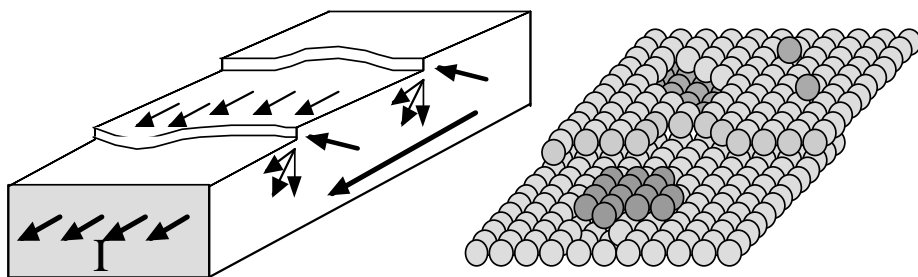
Nanoelectronics - consider nanoscale semiconductor structures such as nanotubes, or even individual molecules as the working functional for new applications in electronics

# Fluctuations and Electrical Transport

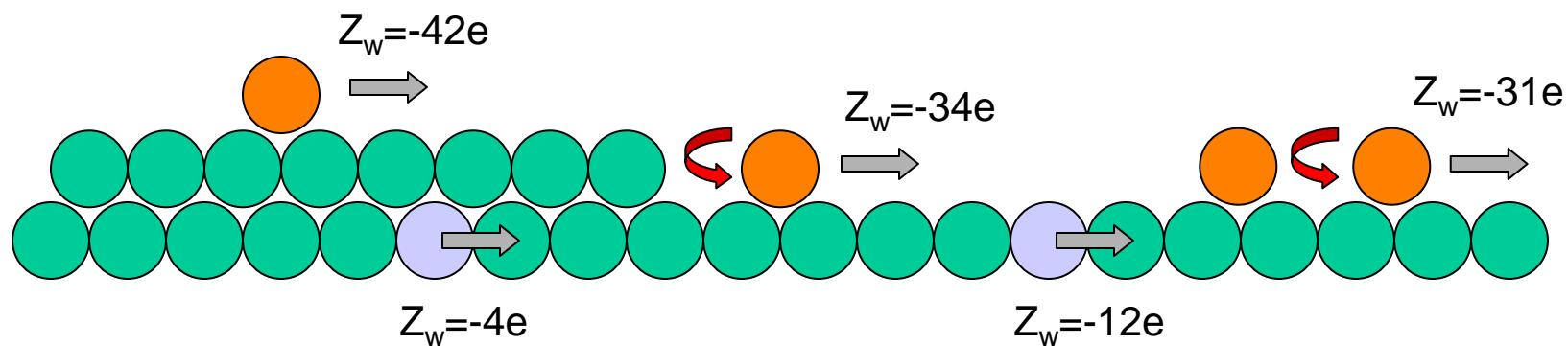
Current variance  $S_I(f)$   $\frac{S_I}{I^2} = \frac{1}{f} \frac{\alpha}{N}$

- Signal noise scales with size according to the same rules as structure fluctuations

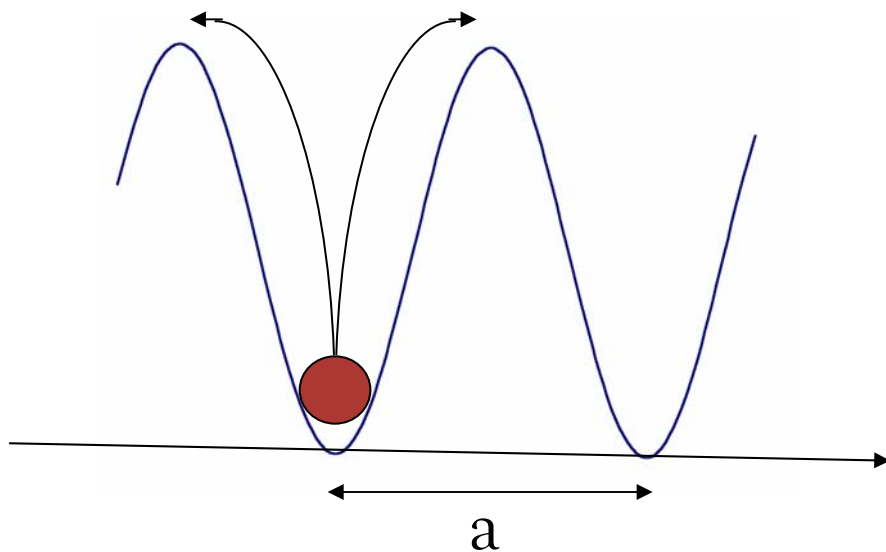
Fluctuating surface steps affect and are affected by internal scattering from surface/interface



# The Force of Electrical Current



P.J. Rous, "Electromigration force at stepped Al Surfaces," Physical Review B59, 7719, 1999.



$$F_a \sim 10^{-7} \text{eV/unit cell}$$



# Perturbation of Step Fluctuations

Step Position,  $x(t)$

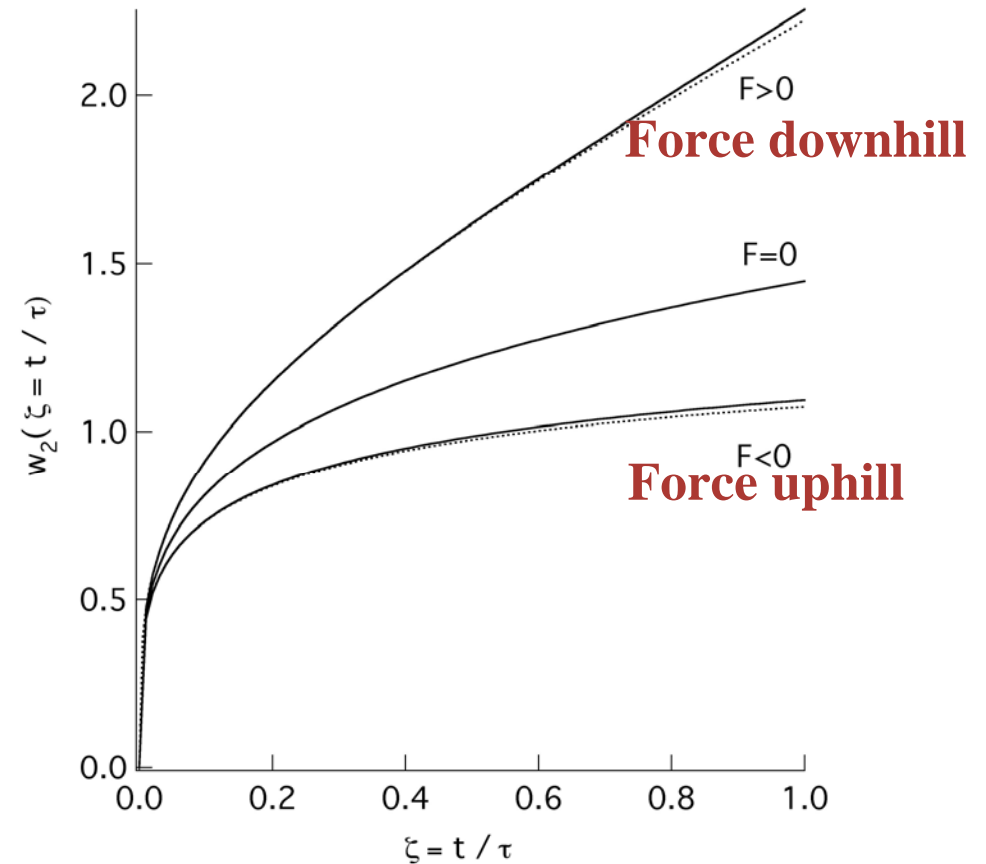
$$G(t) = \left\langle (x(t) - x(0))^2 \right\rangle$$

$$G_{eq}(t) = \left( \frac{2\Gamma(3/4)}{\pi} \right) \left( \frac{kT}{\tilde{\beta}} \right)^{3/4} (\Gamma_h t)^{1/4}$$

$$\tau_{EM} = \frac{kT\tilde{\beta}}{(Fa)^2} \tau_h$$

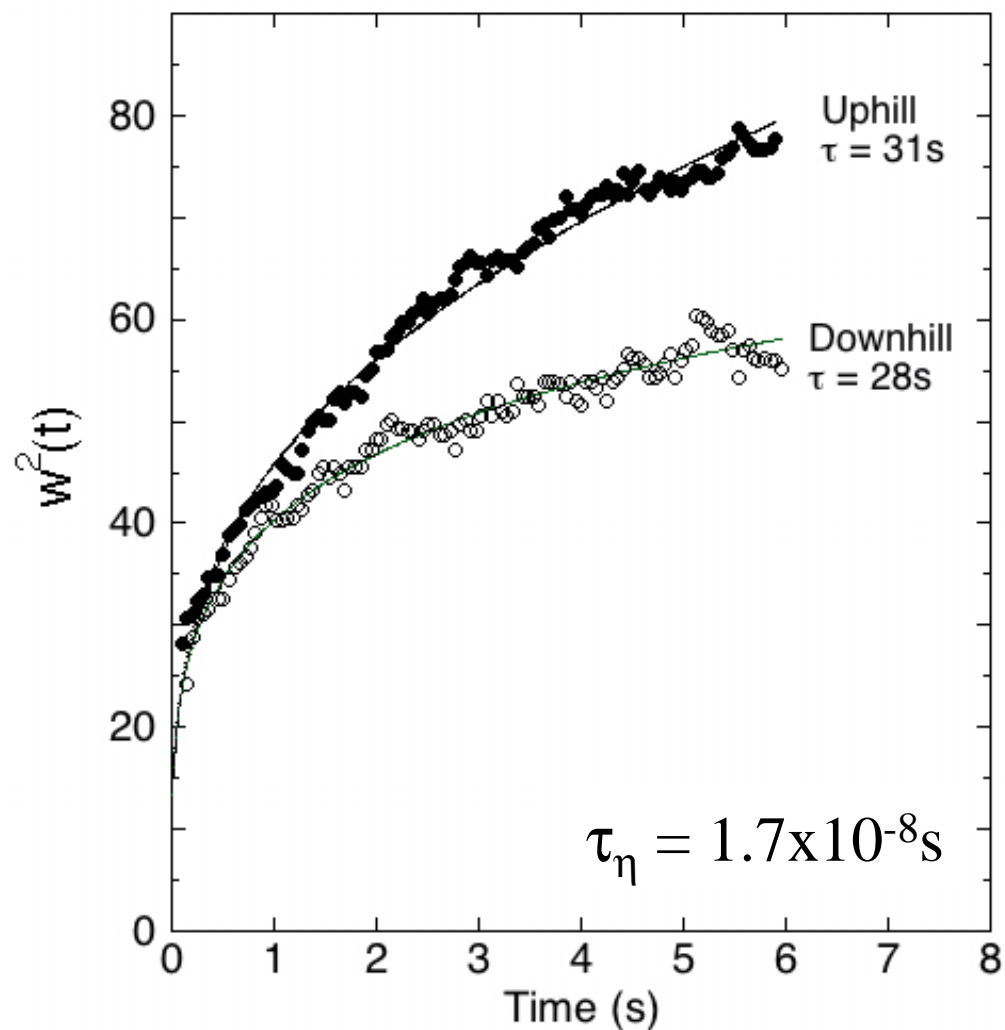
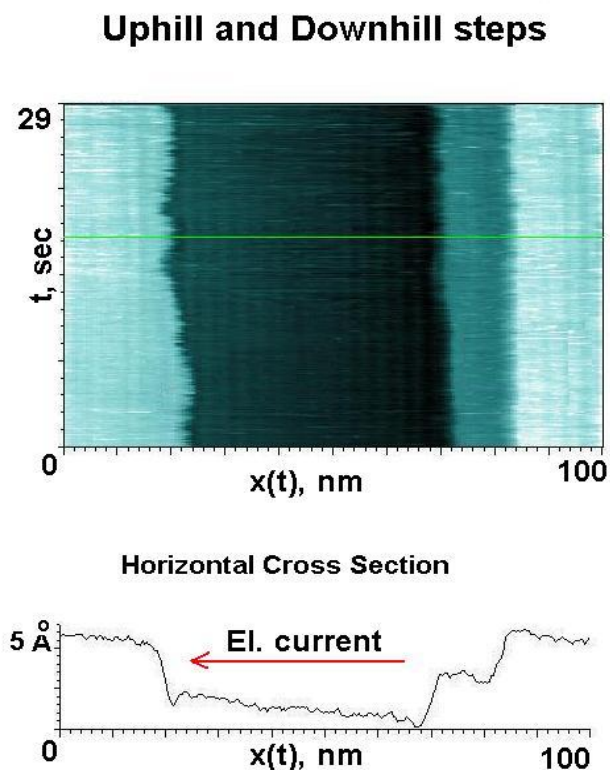
$$G_{EM}(t) \approx G_{eq}(t) \left[ 1 \pm \left( \frac{t}{\tau_{EM}} \right)^{1/2} \right]^{-1}$$

EM force causes deviations in correlation of step wandering



**P.Rous, to be published**

# Ag Thin Film with Bias Current



A. Bondarchuk, to be published

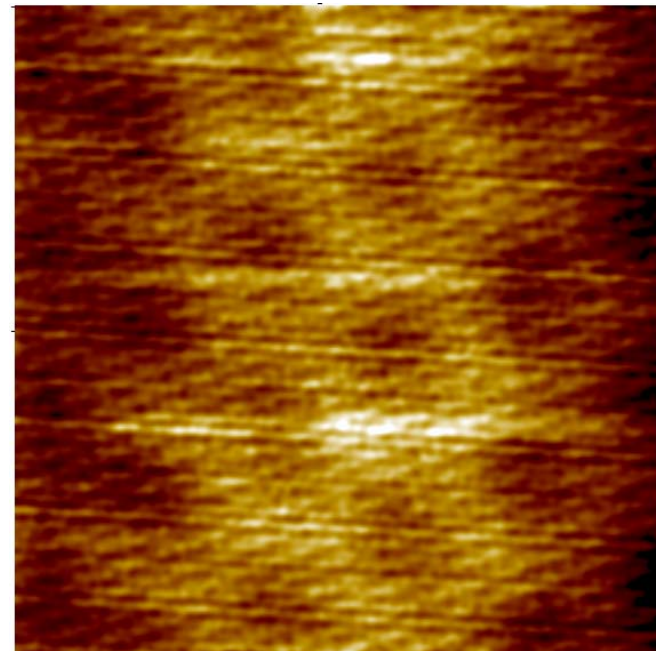
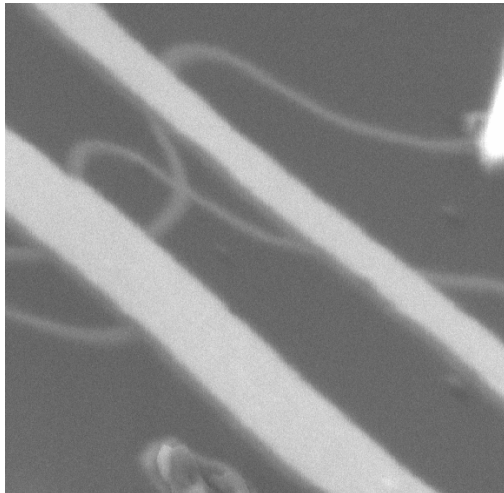
# Fluctuations and Noise

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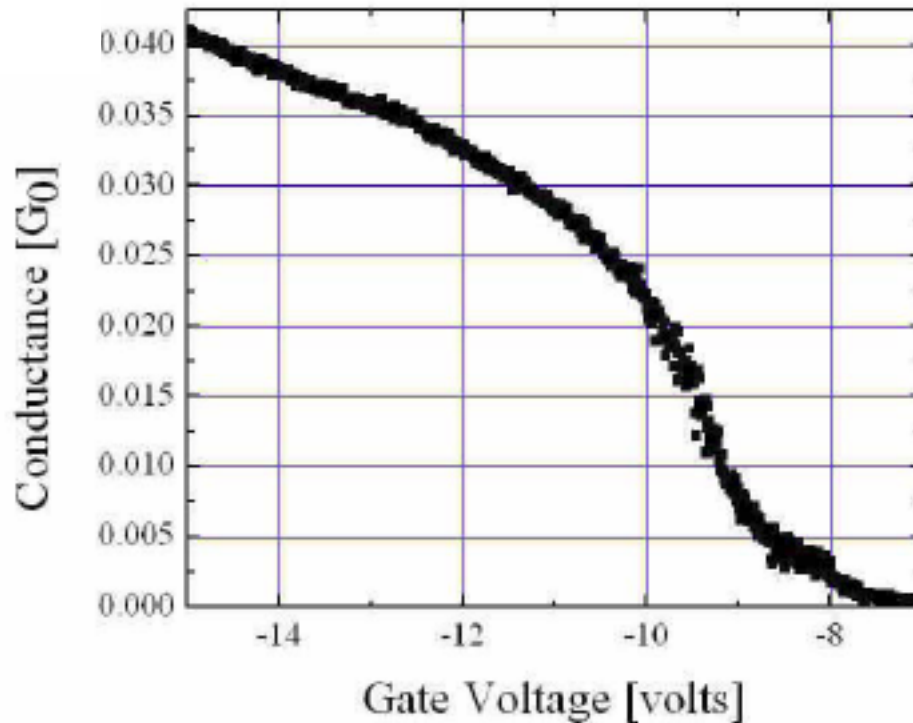
Known mesoscopic behavior:

Current variance  $S_I(f)$   $\frac{S_I}{I^2} = \frac{1}{f} \frac{\alpha}{N}$

1.2-1.8 nm diameter Carbon Nanotube transistors

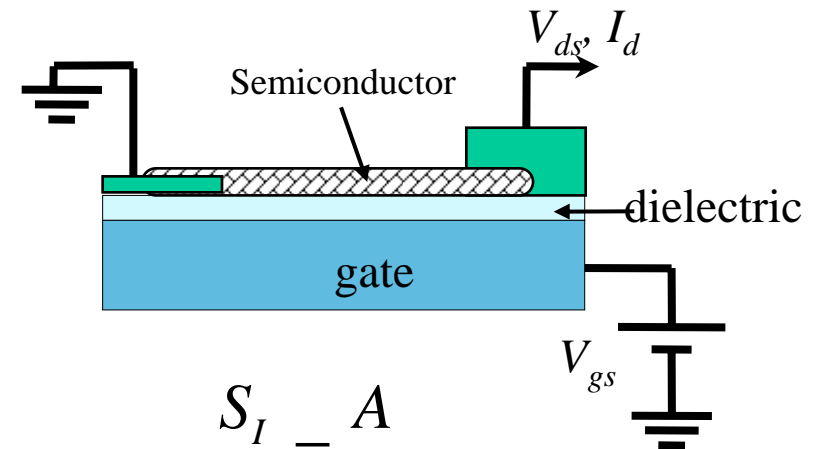


# Nanotube Transistor



Gate voltage mediates the number of current carriers in the nanotube:

\*M. Ishigami, et al. submitted 2006



$$\frac{S_I}{I^2} = \frac{A}{f}$$

$1/A$  is found to be linear in gate voltage

Carrier density linear in gate voltage

$$N = C(V_G - V_T)/q$$

Current variance scales as  $1/N$  for the CNT transistor!

# Nano-Physics

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- Atoms at the surface are relatively free to move around - mass transport to fabricate and modify nanoscale structure and functional properties can be controlled at the surface
- Fractional fluctuation scales as  $1/(\text{volume})^{1/2}$
- Surface to volume scales as  $1/(\text{volume})^{1/3}$



- At the nanometer scale, individual atomic scale structures rather than average over distribution of structures will determine functional behavior of individual nanostructures and nanodevices
- This may be good or bad, but in all cases....  
it will be interesting



# Implications

- Modern solid state electronics requires manufacturing perfection, material system stability
- Biology works in the regime of fluctuation-dominated systems. Error correction is ubiquitous in biological systems.
- Nanotechnology is at the borderline of these two behavior regimes. The challenge is to capture the best of both.



# Acknowledgments

- Funding: NSF-MRSEC, Laboratory for Physical Science
- Collaborators: T. Einstein, J. Reutt-Robey, J.D. Weeks, W.C. Cullen, M. Fuhrer, P. Rous
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  - ✎ Electron force and C60/Ag: C. Tao, A. Bondarchuk, T. Stasevich
  - ✎ Noise in Transistors: M. Ishigami, W. Yan
  - ✎ Organic monolayers: E. Gomar-Nadal, B. Xu, C. Tao

# Experimental Statistical Mechanics at the Nanoscale

Nanoscale structures: fabrication, stability and evolution

